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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE

DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). **If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ $65.00 for a small entity in compliance with 37 CFR 1.27, or ☑ $130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.**

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☑ non-small entity is $ 890 **.**

☑ 1. The statutory basic filing fee is:
  ☑ missing.
  ☐ insufficient.
  Applicant must submit $ 760 *to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

☐ 2. The following additional claims fees are due:

$______ for ______ total claims over 20.

$______ for ______ independent claims over 3.

$______ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☑ 3. The oath or declaration:
  ☑ ~~is missing or~~ unsigned.
  ☐ does not cover the newly submitted items.
  An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

____

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. **A $50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).**

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.
  Applicant must file a verified English translation of the application, the $130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: ____________________

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

____
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service via first class mail on the date indicated below and is addressed to: **BOX MISSING PARTS**, Assistant Commissioner for Patents, Washington, D. C. 20231.
Date: August 4, 1999

Selena Whitaker-Paquet

ATTORNEY DOCKET NO.: 48000.1002u
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **Daryl W. Hochman**

Group Art Unit: 1623

Application No. : 09/326,244
Filed : June 4, 1999
For : **METHODS AND SYSTEMS FOR ASSESSING BIOLOGICAL MATERIALS USING OPTICAL DETECTION TECHNIQUES**

RESPONSE TO NOTICE TO FILE MISSING PARTS TRANSMITTAL LETTER

ATTENTION: BOX MISSING PARTS
Assistant Commissioner For Patents
Washington, D.C. 20231

Enclosed for filing in the above-referenced patent application are the following:

- [X] Copy of Form PTO-1533, Notice to File Missing Parts of Application.
- [X] Verified Statement (Declaration) Claiming Small Entity Status.
- [X] Combined Declaration and Power of Attorney for Patent Application. The $65.00 Surcharge for Late Filing of Declaration is included in the enclosed check for $485.00.
- [X] Assignment and Assignment Transmittal; the $40.00 assignment recordal fee is included in the enclosed check for $485.00.

CLAIMS AS FILED

For	Number Filed				Number Extra		Rate		Basic Fee $ 380.00
Total Claims	17	-	20	=	0	x	$ 9.00	=	$ 0.00
Independent Claims	3	-	3	=	0	x	$ 39.00	=	$ 0.00
Multiple Dependent Claim Fee							$130.00		$ .00

Total Filing Fee	$ 380.00
Assignment Recording Fee ($40.00)	$ 40.00
Surcharge for Late Filing of Fee for Declaration ($65.00)	$ 65.00
TOTAL FEE	**$ 485.00**

- [X] A check in the amount of $**485.00** in payment of the Small Entity filing fee of $380.00; the Surcharge of $65.00 for Late Filing of Declaration; and $40.00 in payment of the Assignment Recordal Fee.
- [X] No additional claim fee is required.
- [X] Request for Corrected Filing Receipt w/copy of incorrect filing receipt.
- [X] The Commissioner is hereby authorized (1) to charge any additional fees which may be required in connection with the filing of this Amendment, or (2) to credit any overpayment to Deposit Account No. 19-3555. This document is filed in duplicate.

Respectfully submitted,

Ann W. Speckman
Registration No. 31,881

Date: August 4, 1999
LAW OFFICES OF ANN W. SPECKMAN
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